

Form PTO-1449 (modified)

Atty. Docket No.  
11916.0048.NPUS00

Serial No. To be assigned

List of Patents and Publications for Applicant's

## INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Applicant:  
Yunhau N. Jeng  
Kanaiyalal R. PatelFiling Date:  
Herewith

Group: To be assigned

U.S. Patent Documents  
See Page 1Foreign Patent Documents  
See Page 1-2Other Art  
See Page 211040 U.S. PTO  
09/891445  
06/26/01

## U.S. Patent Documents

| Exam. Init. | Ref. Des. | Document Number | Date     | Name                    | Class | Sub Class | Filing Date of App. |
|-------------|-----------|-----------------|----------|-------------------------|-------|-----------|---------------------|
| RT          | A1        | 4,816,568       | 3-28-89  | Hamilton et al.         | 530   | 399       | 5-16-86             |
|             | A2        | 4,857,506       | 8-15-89  | Tyle                    | 514   | 12        | 01-12-87            |
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|             | A9        | 5,399,489       | 03-21-95 | Krivi                   | 435   | 69.4      | 11-12-92            |
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|             | A13       | 5,681,811       | 10-28-97 | Ekwuribe                | 514   | 8         | 07-31-95            |
|             | A14       | 5,739,108       | 04-14-98 | Mitchell                | 514   | 12        | 12-11-95            |
|             | A15       | 5,744,163       | 04-28-98 | Kim et al.              | 424   | 489       | 11-13-96            |
|             | A16       | 5,849,704       | 12-15-98 | Sørensen et al.         | 514   | 12        | 06-02-95            |
|             | A17       | 5,986,073       | 11-16-99 | Storrs et al.           | 530   | 419       | 12-21-95            |
|             | A18       |                 |          |                         |       |           |                     |

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| Exam. Init. | Ref. Des. | Document Number | Date     | Country | Class | Sub Class | Translation Yes/No |
|-------------|-----------|-----------------|----------|---------|-------|-----------|--------------------|
| RT          | B1        | WO 97/03692     | 02-06-97 | WIPO    | A61K  | 38/27     |                    |
| L           | B2        | EP 0 216 485    | 04-01-87 | EP      | C07G  | 15/00     |                    |

EXAMINER:

R. Teller

DATE CONSIDERED:

11/27/02

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

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|   |  | Filing Date:<br>Herewith                            | Group: To be assigned     |
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|             | B5        | WO 93/12812        | 07-08-93 | WIPO    | A61K  | 37/36     |                    |
|             | B6        | EP 0 523 330<br>A1 | 01-20-93 | EP      | A61K  | 9/00      |                    |
|             | B7        | WO 00/13674        | 03-16-00 | WIPO    | A61K  | 9/20      |                    |
|             | B8        |                    |          |         |       |           |                    |

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

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Atty. Docket No.  
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Serial No. 09/891,455

List of Patents and Publications for Applicant's

Applicant:  
Yunhua N. Jeng  
Kanaiyalal R. Patel

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

Filing Date:  
June 26, 2001

Group:  
1615

U.S. Patent Documents  
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Foreign Patent Documents  
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See Page 2

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|             | A18       |                 |          |                         |       |           |                     |

Foreign Patent Documents

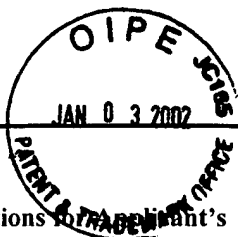
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| I           | B9        | WO 97/29767     | 08-21-97 | WIPO    |       |           |                    |
|             | B10       | EP 303 746 A1   | 02-22-89 | EP      |       |           |                    |
|             | B11       |                 |          |         |       |           |                    |

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|             | C1        | Katakam, Manohar: <i>Use of non-ionic surfactants to stabilize recombinant human growth hormone and to develop its sustained release formulation</i> , DISS. ABSTR. INT., vol. 57, no. 5, 1996, page 3149. |
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